



# OAKWOOD C A P I T A L

**600 Highway 169 South  
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(952) 935-4601  
[www.oakwoodcapital.com](http://www.oakwoodcapital.com)**

## ***Douglas S. King, CFP®***

Chief Executive Officer, Chief Compliance Officer,  
and Investment Adviser Representative

15701 S. Tamiami Trail, Ste 300  
Fort Myers, FL 33908  
(239) 350-0245

### **Form ADV Part 2B – Brochure Supplement**

Effective: May 19, 2026

This brochure supplement (“Supplement Brochure”) dated May 2026 provides information about Douglas King that supplements Oakwood Capital, Inc.’s (“OC” or the “Firm”) Form ADV Part 2A (“Firm Brochure”). You should have received a copy of the Firm Brochure. If you did not receive OC’s Firm Brochure or if you have any questions about the contents of this Supplement Brochure, please contact Doug King at (952) 935-4601 or [compliance@oakwoodcapital.com](mailto:compliance@oakwoodcapital.com).

Additional information about Douglas King is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Douglas King’s CRD number is 1375683.

## Item 2: Educational Background and Business Experience

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Douglas King

CRD No. 1375683

Born: 1963

### EDUCATIONAL BACKGROUND

Mr. King is dedicated to advising clients of Oakwood Capital, Inc. as a Financial Advisor of Oakwood Capital Advisors, LLC. Mr. King attended Virginia Tech earning two Bachelor of Science degrees in Finance and in Management, and the University of Minnesota's Carlson School of Management earning a Master of Business Administration (MBA). Additional information regarding Mr. King's business background is included below.

### BUSINESS BACKGROUND

11/2023 – Present	President & CEO	Oakwood Capital Partners, LLC
11/2023 – Present	Chief Executive Officer	Oakwood Capital Accounting & Tax Advisors, LLC
04/2020 – Present	President, CEO & CCO	Oakwood Capital, Inc.
04/2020 - Present	President, CEO, & CCO	Oakwood Capital Securities, Inc.
04/2020 - Present	President & CEO	Oakwood Capital Insurance Solutions, LLC
01/2020 – Present	President & CEO	Oakwood Capital Securities, LLC
04/2018 – Present	President, CEO, and Financial Advisor	Oakwood Capital Advisors, LLC

### PROFESSIONAL DESIGNATIONS

#### CERTIFIED FINANCIAL PLANNER™ (“CFP®”)

The program is administered by the Certified Financial Planner Board of Standards Inc. Those with the CFP® designation have demonstrated competency in all areas of finance related to financial planning. Candidates complete studies on over 100 topics, including stocks, bonds, taxes, insurance, retirement planning and estate planning. In addition to passing the CFP® certification exam, candidates must also complete qualifying work experience, agree to adhere to the CFP Board's code of ethics and professional responsibility and financial planning standards and complete 30 hours of continuing education every two (2) years.

## Item 3: Disciplinary Information

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**There are no legal, civil, or disciplinary events to disclose regarding Mr. King.** Mr. King has never been involved in any investment related regulatory, civil, or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. King.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property;

bribery, forgery, counterfeiting, or extortion; and/or dishonest, unfair, or unethical practices. **As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. King.**

However, we do encourage you to independently view the background of Mr. King on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching with his full name or his Individual CRD No. 1375683.

#### **Item 4: Other Business Activities**

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Mr. King is the President, Chief Executive Officer, majority owner, and Financial Advisor of Oakwood Capital Advisors, LLC, a wealth management firm offering financial planning and investment services for high-net-worth individuals and small businesses. Financial Planning and Investment Advisory Services are offered through Oakwood Capital, Inc. Brokerage services are offered through Oakwood Capital Securities, Inc.

Mr. King is the Chief Executive Officer and majority owner of Oakwood Capital Accounting & Tax Advisors, LLC, an accounting firm located in Fort Myers, Florida that prepares tax returns for individuals and accounting services, payroll, corporate formation, and tax returns for small businesses.

Mr. King is the President, Chief Executive Officer, majority owner, and Chief Compliance Officer of Oakwood Capital, Inc., a Registered Investment Adviser firm with offices located in Minneapolis, Minnesota and Fort Myers, Florida.

Mr. King is the President, Chief Executive Officer, Chief Compliance Officer, Financial Advisor, and variable insurance agent of Oakwood Capital Securities, Inc., an SEC registered broker-dealer, member of FINRA and the MSRB, and affiliate of Oakwood Capital, Inc. with offices in Minneapolis, Minnesota and Fort Myers, Florida.

Mr. King is the President, Chief Executive Officer, and majority owner of Oakwood Capital Insurance Solutions, Inc., an insurance marketing organization offering fixed insurance and annuity products to qualified individuals and small businesses.

Mr. King is the President and Chief Executive Officer and majority owner of Oakwood Capital Securities, LLC., a financial services holding company located in Fort Myers, Florida.

Mr. King is the President and Chief Executive Officer and majority owner of Oakwood Capital Partners, LLC., a management company located in Fort Myers, Florida that manages the employees and offices of all the “Oakwood Capital” firms.

Mr. King is a volunteer board member of Northern Star Council, Boy Scouts of America.

Mr. King offers consulting services to other businesses and private equity companies through Oakwood Capital Advisors, LLC. The information provided is not related to securities products or services. Compensation is based on an hourly fee on a per consult basis.

Mr. King is a licensed insurance agent through Oakwood Capital Insurance Solutions, LLC and Oakwood Capital Securities, Inc. and may recommend and engage in the sale of insurance products offered by various insurance carriers for which he will receive additional compensation. Please be advised that there is a conflict of interest in that there is an economic incentive for Mr. King to recommend insurance products offered through such insurance carriers. Any commissions received through the sales of insurance policies do not offset advisory fees the client may pay for advisory services provided by Oakwood Capital, Inc. Mr. King strives to put his clients’ interests first and foremost, and clients are not obligated to purchase insurance products through him and may seek similar services elsewhere.

## **Item 5: Additional Compensation**

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Mr. King does not receive any economic benefit from any person, company, or organization, in exchange for providing advisory services other than through the Firm.

However, Mr. King does receive commissions from sales of insurance products generated as an insurance agent and commissions for brokerage transactions effected through affiliate Oakwood Capital Securities, Inc.

In addition to earning compensation as an investment adviser representative of Oakwood Capital, Inc., as a registered representative of Oakwood Capital Securities, Inc., as an insurance agent with Oakwood Capital Insurance Solutions, LLC, as a financial advisor with Oakwood Capital Advisors, LLC, and as an officer of Oakwood Capital Accounting & Tax Advisors, LLC and of Oakwood Capital Partners, LLC, Mr. King is also either a direct or indirect owner of these companies. This presents a conflict as he earns compensation through sharing in the profits and losses of these entities and may be incentivized to recommend more profitable products and services.

## **Item 6: Supervision**

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Supervision of Mr. King is performed by a third-party compliance consultant and by himself in his capacity as Chief Compliance Officer of Oakwood Capital, Inc. OC has implemented a policies and procedures manual and Code of Ethics which guides the Firm and its supervised persons in meeting their fiduciary obligations to OC's clients when providing investment advisory services. As OC's Chief Compliance Officer, Mr. King is responsible for the implementation of the Firm's policies and procedures and Code of Ethics. Mr. King may be contacted at (952) 935-4601 or [compliance@oakwoodcapital.com](mailto:compliance@oakwoodcapital.com) for more information about this Brochure Supplement.

Additionally, Oakwood Capital, Inc. is subject to regulatory oversight by various agencies. These agencies require registration by Oakwood Capital, Inc., and its supervised persons. As a registered entity, OC is subject to examinations by regulators, which can be announced or unannounced. OC is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.